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**PATENTS** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ion of:	)
imier et ai.	) Art Unit: <b>2162</b>
09/685,285	)
	) Examiner: Unknown
October 10, 2000	)
	) Docket No.: <b>05456.105008</b>
Method and System for Creating a	)
Record for One or More Computer	)
Security Incidents	)
	og/685,285 October 10, 2000 Method and System for Creating a Record for One or More Computer

# REQUEST TO CORRECT FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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JUN 2 4 2004

GROUP 3600

Upon review of the Corrected Filing Receipt mailed from the U.S. Patent and Trademark Office ("Patent Office") on March 27, 2001, Applicants through their attorneys and agents have discovered an error. More specifically, John M. Hammer is identified twice as an Applicant in the subject application.

On December 11, 2000 a Filing Receipt was mailed from the Patent Office that failed to identify John M. Hammer as an Applicant in the subject application. As a result of this error, a Request for Corrected Filing Receipt was mailed to the Patent Office on January 5, 2001. On March 27, 2001 the aforementioned Corrected Filing Receipt was mailed from the Patent Office. A copy of the Request for Corrected Filing Receipt, which includes a marked-up copy of the original Filing Receipt, is enclosed along with a marked-up copy of the Corrected Filing Receipt showing changes marked in red.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination Customer Service Center, P.O. Box 1450, Alexandria, Virginia 22313-1450, on April 21, 2004.

Steven V. Wigmore, Reg. No. 40,447

Applicants respectfully request that the Patent Office issue a second Corrected Filing Receipt, including the correction discussed above.

No fees are believed to be due in connection with this request. However, should the Commissioner determine otherwise, he is authorized to charge such fees to King & Spalding LLP Deposit Account No. 11-0980.

Respectfully submitted,

Steven P. Wigmore Reg. No. 40,447

King & Spalding LLP 191 Peachtree Street 45<sup>th</sup> Floor Atlanta, Georgia 30303 (404) 572-4600

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:		
	*.	
John M. Hammer et al		

Serial No.:

09/685,285

Filed:

October 10, 2000

Method and System for Creating a For: **Record for One or More Computer** 

**Security Incidents** 

Group Art Unit: 2162

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GROUP 3600

# REQUEST TO CORRECT FILING RECEIPT

**Assistant Commissioner of Patents** Office of Initial Patent Examination Customer Service Center Washington, DC 20231

January 5, 2001

Sir:

Upon review of the Official Filing Receipt, Applicants noted that the first inventor --John M. Hammer-- was omitted, and that the second inventor's last name --Ge-- was not capitalized. Attached is a copy of the Filing Receipt with the changes marked in red. Correction of the Filing Receipt is respectfully requested.

Respectfully submitted,

Steven P. Wigmore Reg. No. 40,447

KING & SPALDING 45th Floor, 191 Peachtree Street, N.E. Atlanta, Georgia 30303 404.572.4600 K&S Docket No. 05456.105008

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231, on January 5, 2001.

> Reg. No. 40.447 Steven P. Wigmore-





# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I

www.uspto.gov IND CLAIMS

APPLICATION NUMBER 09/685.285

**FILING DATE** 10/10/2000

**GRP ART UNIT** 

2162

0

FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS 05456,105008

TOT CLAIMS 65

Steven P Wigmore Esq King & Spalding 191 Peachtree Street NE 45th Floor Atlanta, GA 30303

**FILING RECEIPT** 

\*OC000000005610631\*

Date Mailed: 12/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) - John M. Hammer --

Rixinge, Atlanta, GA :-- Ge-Charles D. Burke, Atlanta, GA;

Charles Hubbard, Atlanta, GA;

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JUN 2 4 2004

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/663,886 09/15/2000

**GROUP 3600** 

Foreign Applications

If Required, Foreign Filing License Granted 12/10/2000

**Title** 

Method and system for creating

or more computer security incidents

UCC 15 2000

**Preliminary Class** 

705

Data entry by: NEWTON, WILLIAM

Team: OIPE

Date: 12/11/2000

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#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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#### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



The U.S.P.T.O official mailroom stamp affixed hereto acknowledges receipt of the items listed below.

**Applicant** 

John M. Hammer et al.

Serial No.

09/685,285

Title

Method and System for Creating a Record for One or

More Computer Security Incidents

Papers Submitted:

Request to Correct Filing Receipt; Copy of Filing receipt; and

postcard

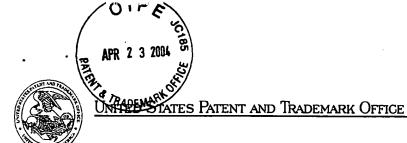
Attorney

Date Mailed

SPW/ehj 01/05/01

Docket

05456.105008



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/685,285	10/10/2000	2162	1730	05456.105008	29	65	4

Steven P Wigmore Esq King & Spalding 191 Peachtree Street NE 45th Floor Atlanta, GA 30303 Date Mailed: 03/27/2001

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JUN 2 4 2004

GROUP 3600

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Applicant(s)

John M. Hammer, Atlanta, GA;
Rixin Ge, Atlanta, GA;
Charles D. Burke, Atlanta, GA;
Charles Hubbard, Atlanta, GA;
John M. Hammer, Atlanta, GA;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/663,886 09/15/2000

Foreign Applications

If Required, Foreign Filing License Granted 12/10/2000

Title

Method and system for creating a record for one or more computer security incidents

**Preliminary Class** 

705

Data entry by: SMALLWOOD, EAON Team: 1600 Date: 03/27/2001

- 1 (22) | 0 (1) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (20) | 1 (

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# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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